

12/21/01

JC931 U.S. PTO

12-28-01

JC927 U.S. PTO

10/028/45

12/21/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Pocket No. : 47630/DBP/C664  
 Inventor(s) : Mark J. Owens; Chia Seiler; Brian Robert Brown; Mark Henry Bonwick;  
 Quentin Scott; Robert Goh; and Ross Halgren  
 Title : WDM ADD/DROP MULTIPLEXER MODULE  
 Express Mail Label No. : EL903022852US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: December 21, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

\_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_

3. **APPLICATION COMPRISED OF**

**Specification**

25 Specification, claims and Abstract (total pages)

**Drawings**

11 Sheets of formal drawing(s) (FIGS. 1 to 11)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed

☒ Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 47630/DBP/C664

**7. ALSO ENCLOSED ARE**

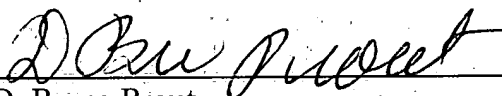
- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS.**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE:** December 21, 2001

Docket No. : 47630/DBP/C664

Inventor(s) : Mark J. Owens; Chia Seiler; Brian Robert Brown; Mark Henry Bonwick;  
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Title : WDM ADD/DROP MULTIPLEXER MODULE

Duplicate     

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	46+27 - 20	53	x \$9.00	53 x \$18.00	\$954.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$280.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$2,058.00
List Independent Claims: 1, 19, 23, and 35					

**METHOD OF PAYMENT**

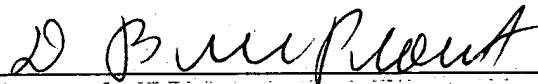
☒ No filing fee enclosed

☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



D. Bruce Prout

Reg. No. 20,958

626/795-9900

DBP/aam

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